



AUDITOR-CONTROLLER AGENCY

FY 2022-2023

MAINTENANCE OF EFFORT BUDGET

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Auditor-Controller/Clerk-Recorder

April 12, 2022



Mission & Vision

Mission Statement

The Auditor-Controller Agency shall support Alameda County's Vision through the efforts of its employees by providing the highest degree of fiscal stewardship, transparency, accessibility and service when administering public funds and in the protection of official public records.

Vision Statement

The Auditor-Controller Agency provides superior protection and accessibility of public resources and records through advancements in technology and the optimization of employees, and as such, is a model for other public organizations.



Mandated & Revenue-Based Services

Auditor-Controller	Central Collections	Clerk-Recorder
Accounting Budget Central Payroll Contract Compliance Cost Plan Disbursements Financial Reporting Grants Internal Audit Tax Analysis	Court Fines/Restitution Environmental Fees Miscellaneous Debts Probation Fines/ Adult Restitution Social Services Overpayments	Digital Imaging General Business Indexing Marriages Public Files Preservation of Official Records Property Recording Vital Statistics



Financial Summary

	Approved Budget FY 2021-2022	MOE Budget Request FY 2022-2023	Change from FY 2021-2022 Approved Budget	
			Amount	%
Appropriations	\$ 39,654,239	\$ 41,632,901	\$ 1,978,662	4.99%
Revenue	\$ 54,025,685	\$ 54,947,867	\$ 922,182	1.71%
Net County Cost	\$ (14,371,446)	\$ (13,314,966)	\$ 1,056,480	7.35%
FTE Mgmt	52	52	0	0.00%
FTE Non Mgmt	158	158	0	0.00%
Total FTE	210	210	0	0.00%



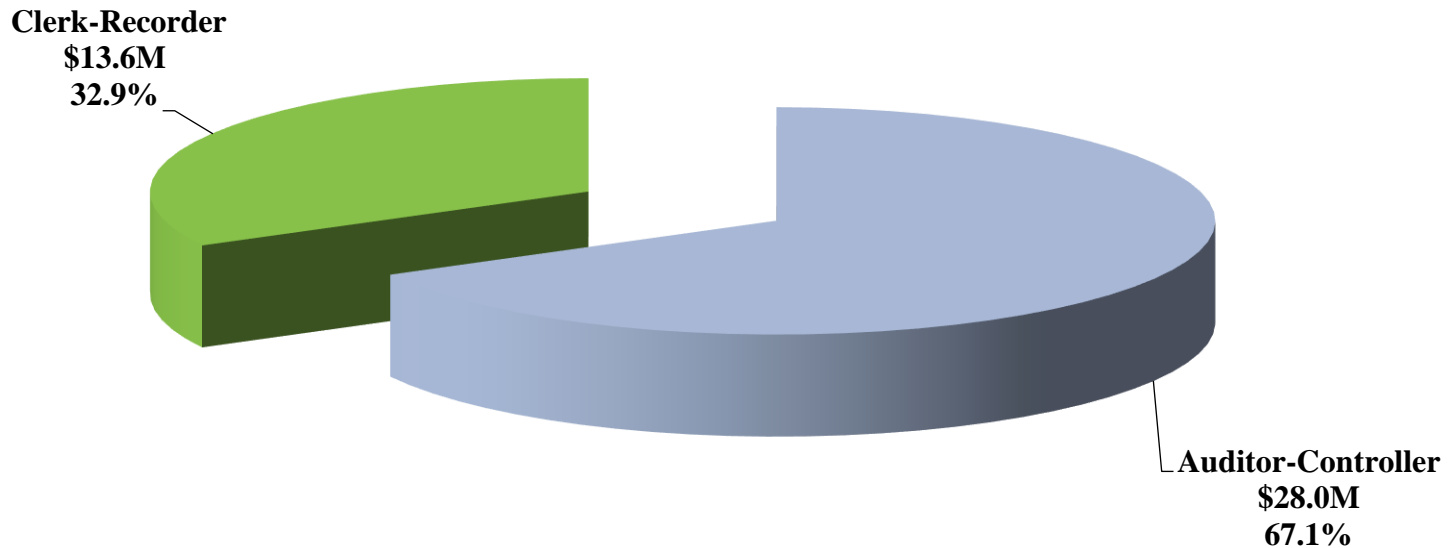
Major Components of Net County Cost Change

Major Component	NCC Change
Salaries & Benefits	\$ 1,524,430
Discretionary Services and Supplies	\$ 100,000
Non-Discretionary Services and Supplies	\$ 354,232
Revenue	\$ 922,182
Total Net County Cost Change	\$ 1,056,480



FY 2022-2023 MOE Request Total Appropriation by Department

Total Appropriations = \$41,632,901
Total Revenues = \$54,947,867

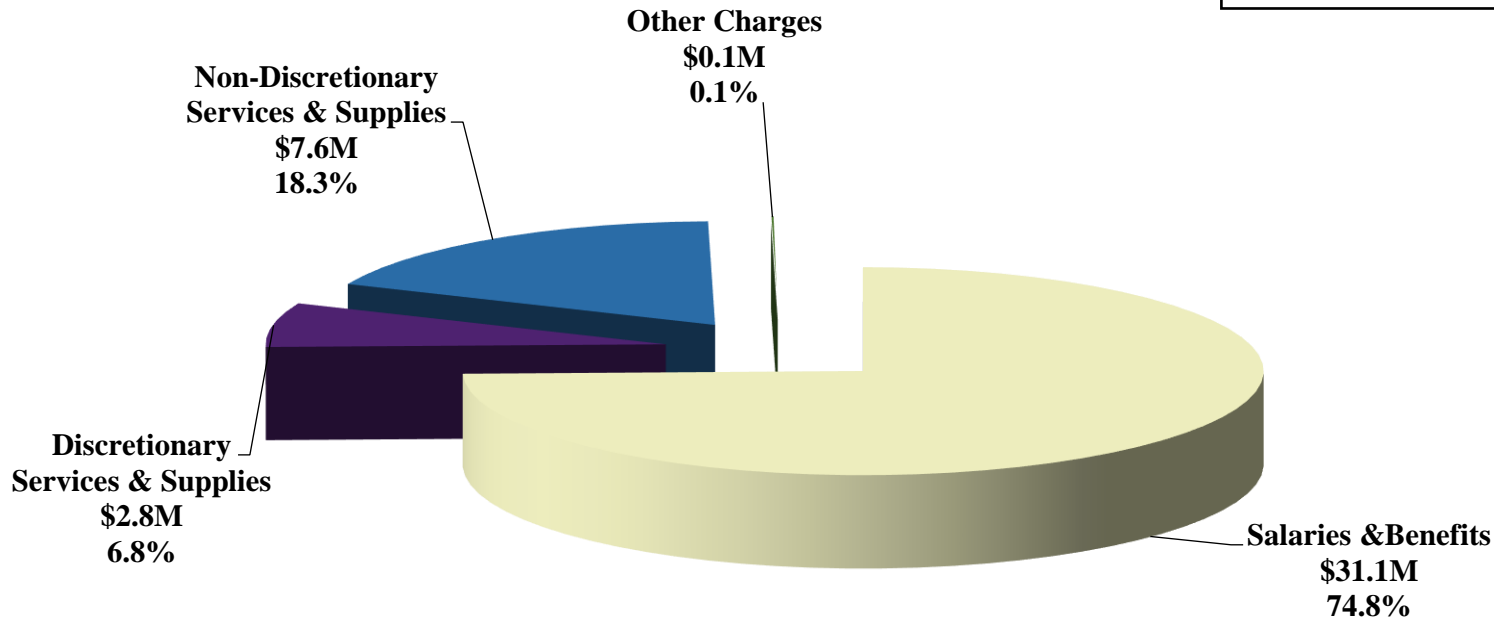




FY 2022-2023 MOE Request

Total Appropriation by Major Object

Total Appropriations = \$41,632,901
Total Revenues = \$54,947,867



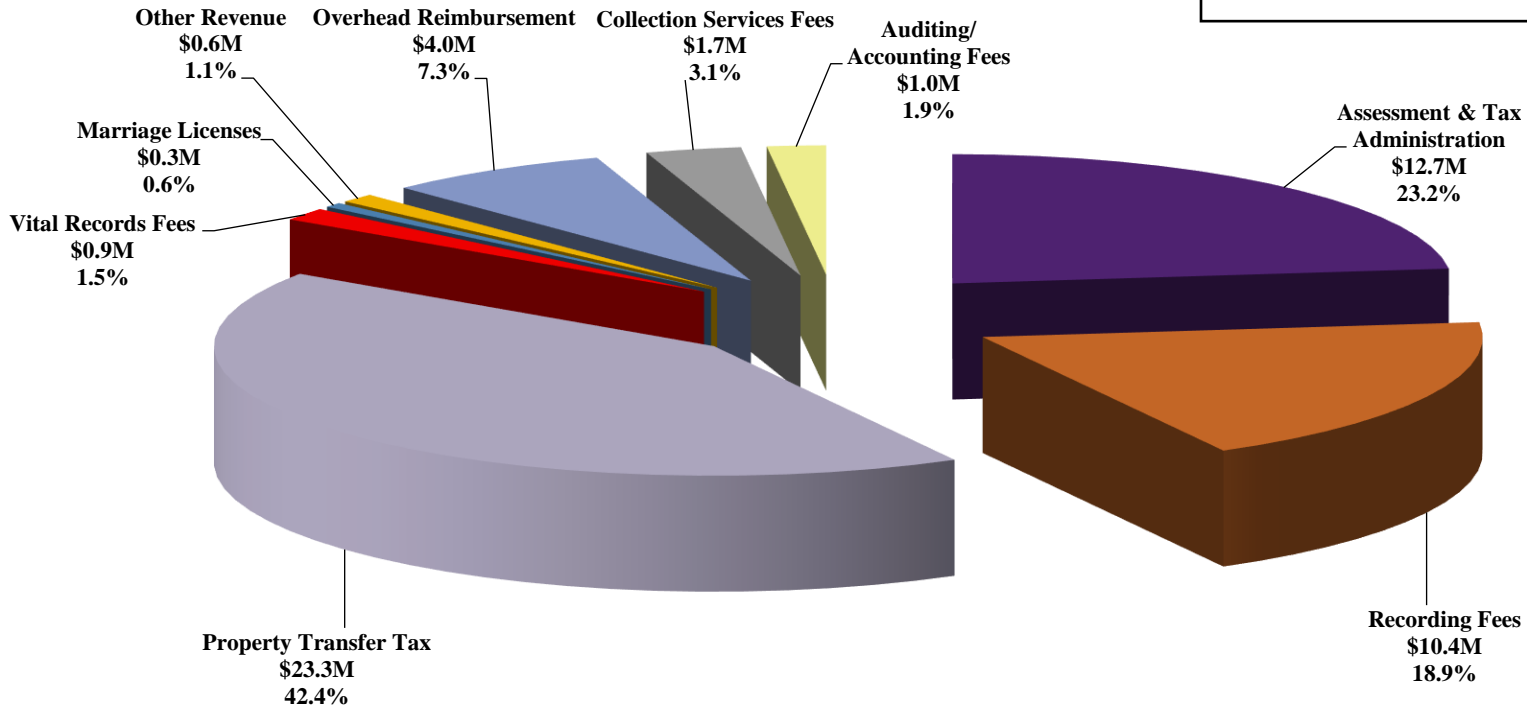
* Credit for Interdepartmental Services (\$70k)



FY 2022-2023 MOE Request

Total Revenue by Source

Total Appropriations = \$41,632,901
Total Revenues = \$54,947,867





FY 2022-2023 Estimated Annual Revenue Collected for the County and Other Entities

<ul style="list-style-type: none"> • Real Estate Fraud Fee; District Attorney - \$2.8M • Children’s Trust (Birth Certificates); Social Services - \$420k • Survey Monument Fee; Public Works - \$121k • Domestic Violence Fee (Marriage Licenses); Social Services - \$196K 	<p>City Transfer Taxes</p> <ul style="list-style-type: none"> • City of Oakland - \$122.9M • City of Berkeley - \$61.0M • City of Hayward - \$21.0M • City of Alameda - \$19.9M • City of San Leandro - \$12.5M • City of Emeryville - \$2.8M • City of Piedmont - \$5.6M • City of Fremont - \$3.4M • City of Albany - \$3.7M • City of Livermore - \$1.4M • City of Pleasanton - \$1.4M • City of Dublin - \$1.0M • City of Newark - \$641K • City of Union City - \$651K 	<ul style="list-style-type: none"> • Various Obligations (e.g., Victim Restitution, Fines, Penalties) for Probation, Social Services, Public Works, Environmental Health, Cities & State - \$8.5M
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* Total Revenue Collected for Others: \$269M+



Vision 2026



Our Agency **supports** the Vision 2026 strategic priorities with a focus on ensuring a prosperous and vibrant economy as well as a thriving and resilient population through **fiscal stewardship, collaboration, innovation, accessibility** and **sustainability**. Our efforts include:

- Connecting with our constituents to provide important information;
- Promoting transparency and accessibility to frequently requested information in order to enhance the customer experience;
- Continuously streamlining and improving our reporting systems and processes in order to optimize our operations; and
- Collaborating with County departments on projects that impact the County.



Accomplishments

- Earned “Award for Counties Financial Transactions Reporting” from the State Controller’s Office for fiscal year ended June 30, 2020.
- Earned “Certificate of Achievement for Excellence in Financial Reporting” for the 38th consecutive year from the Government Finance Officers Association for the Comprehensive Annual Financial Report for fiscal year ended June 30, 2020.
- In collaboration with key departmental partners, issued the Single Audit report of federal funds (\$450M+) with no internal control or compliance findings for 4th consecutive year (FY 2017, FY 2018, FY 2019, FY 2020).
- Received the 2021 GovX Awards for “radically improving the experience of government” for our Online Marriage Services program and Property Tax Refunds Tracking System which provides a new, automated service allowing Alameda County residents to check their refund status.
- Refunded approximately \$2.4M in overpayments by implementing modern skip tracing technology and reallocating internal resources to locate over 10,000 current and former Central Collections clients.
- Assisted cities and the County in recouping roughly \$2.8M in transfer tax payments on property purchases across the County that went unpaid by the responsible outside party.
- Re-opened Tri-Valley Office in order to provide convenient access to our services in that area, and meet the needs of all Alameda County constituents.



Accomplishments

- Permanently implemented an online marriage licensing/ceremony program pursuant to AB 583.
- Increased accessibility by implementing online filing of Fictitious Business Name (FBN) statements.
- Implemented an automated voucher approval threshold process to streamline payment process for suppliers.
- Maximized efficiency and sustainability by expanding the electronic process for Federal Fund Waivers to include a database to automatically collect and store data submitted and approved electronically.
- Released the first phase of the property tax apportionment migration to the Auditor Property Tax Information System, which includes current secured tax apportionment.
- Provided paid internship opportunities to 5 at-promise youth from the Raising Leaders Program and 9 college students.
- Expanded outreach efforts over the past few years by participating in various community events and provided presentations and information about the County and Agency to the Hayward Adult School, Oakland Veterans Center, Chambers of Commerce, Rotary Clubs, Community Advisory Groups and job fairs.
- Created a monthly newsletter and a presence on social media outlets like Twitter to connect with our constituents and to provide the public with essential County information.
- Collaborated with a local artist and staff to create the first unique Auditor-Controller Agency logo that represents and recognizes both Offices of the Auditor-Controller and the Clerk-Recorder.



Strategies to Create a Prosperous & Vibrant Economy

- Continue to collaborate with County departments on various projects:
 - ITD – HRMS timesheet upgrade development and early testing
 - ITD – phases one and two of the property tax apportionment migration project
 - ITD – enhancements to the Property Tax Refund Tracking System
 - ITD & Treasurer’s Office - deferred compensation payroll interface automation
 - ACERA & ITD - ACERA’s PensionGold V3 system upgrade testing and implementation
 - Social Services Agency - transition from the CalWIN to CalSAWS system by streamlining data migration related to collections accounts
- Publish the first Alameda County Community Guide Popular Annual Financial Report which is intended to summarize and explain the statistical, economic, and financial information contained in the Comprehensive Annual Financial Report and provide the community with a more user-friendly guide to Alameda County’s financial position.
- Redesign the Agency website to improve accessibility, availability of online information and user experience.
- Continue to engage local businesses, organizations, key stakeholders and community members to discuss the impact of new legislations, rules and regulations.



Auditor-Controller Agency COVID-19 Related Budget Impacts

- Overall, Central Collections revenue will continue to be lower than pre-pandemic levels as a result of several factors:
 - Our office is not actively making collections calls, nor accepting in-person payments along with fewer referrals throughout the pandemic; however, the tax intercept process has restarted therefore we anticipate an increase in revenue compared to FY21 actuals.
- Clerk-Recorder revenue is expected to return to pre-pandemic levels
 - After nearly doubling for a period of time in 2020/2021, our average daily recordings are back to pre-pandemic levels.